

新投资组合管理

1 请前往至介绍人概要页面, 此处将显示新投资组合管理之选项。



HOLDINGS (持有资产)

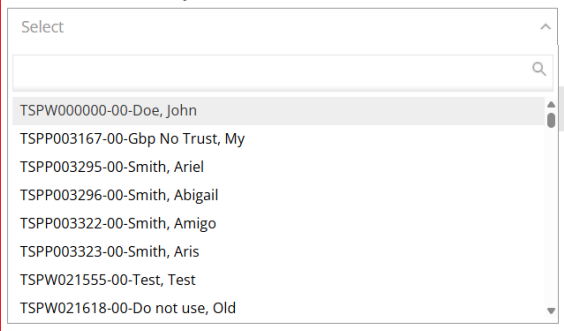
2 在 Holdings (持有资产) 部分, 用户可查看其投资组合的所有相关资讯, 包括其所持资产之构成分布的可视化资讯, 以及投资组合中每项资产相关的资讯。请选择一份计划以查看资讯。

Holdings

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Holdings

Search and select a Policy:



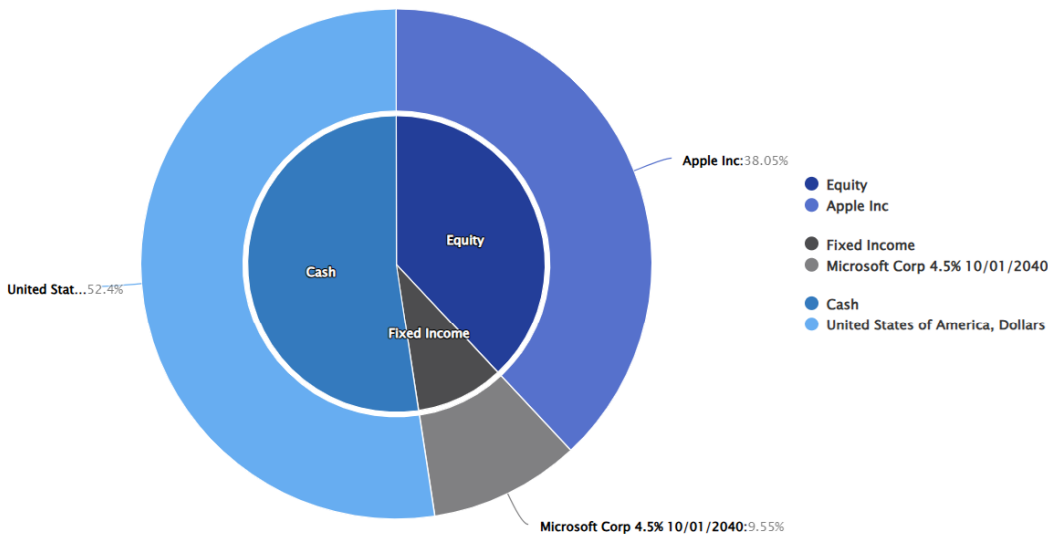
HOLDINGS (持有资产) (续前页)

2 客户的现金与资产的饼图将会显示。这是一个交互式图表，用户可以显示或隐藏其资产。

Search and select a Policy:

TSPW000000-00-Doe, John

Holdings



在 **Holdings (持有资产)** 列表中，可查看所有可用资产的概要。用户可通过 **Show/Hide Columns (显示/隐藏栏目)** 来添加或隐藏栏目。

Holdings

Asset	Quantity	Currency	Cost Basis	Amount	Return (\$)	Return (%)
United States of America, Dollars		USD		54,874.90		52.40%
Apple Inc	200.000000	USD	10,050.00	39,840.00	29,790.00	38.05%
Microsoft Corp 4.5% 10/01/2040	10,000.000000	USD	10,100.00	10,000.00	-100.00	9.55%

Show/Hide Columns

- Asset Type
- Asset
- ISIN
- Ticker
- SEDOL
- CUSIP
- Quantity
- Currency
- Unit Cost
- Cost Basis
- Price
- Price Date
- Residual Factor
- Amount
- Return (\$)
- Return (%)
- FX Rate
- Amount in Base Currency
- % of Portfolio

HOLDINGS (持有资产) (续前页)

2 用户可点击资产链接以查看详情。

Asset

Name	Currency	ISIN	Ticker	SEDOL	CUSIP
Apple Inc	USD	US0378331005	AAPL	2046251	37833100

Position

Quantity	Unit Cost	Cost Basis	Price	Price Date	Amount	Return (%)	Return (\$)	FX Rate	Amount in Base Currency	% of Portfolio
200.000000	50.2500	10,050.00	199.2000	12/06/2025	39,840.00	296.42%	29,790.00	1	39,840.00	38.05%

Transactions

Date	Transaction Type	Asset	Asset Currency	Price	Quantity	Settlement Currency	Amount	Final Amount
12/06/2025	Security Deal Buy	Apple Inc	USD	50.0000	200.00	USD	10,000.00	10,050.00

TRADE ASSETS (资产交易)

3 该部分用于计划的资产购入/售出交易。
在Cash Holdings (现金持有资产) 列表中, 列出了他/她的计划可持有的货币。

Trade Assets
55555 - TEST

Account Access > Introducer > Portfolio Management New > Trade Assets

Search and select a Policy:
TSPW000000-00-Doe, John

Cash Holdings

Currency	Balance	Available
USD	54,874.90	54,874.90

Asset Order

My Portfolio All Assets

Identifier: Ticker, ISIN, CUSIP, SEDOL, Internal ID | Asset Name: Asset Name | Search | xReset

ISIN	Ticker	Asset Name	Currency	Available Quantity	Price	Price Date	Unit Cost	Amount	Return (%)
US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value. [can't find asset?](#)

Order Selection

Asset ISIN	Ticker	Name	Currency	Amount	Quantity	Expiration	Price Limit
<input type="radio"/>				<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>

[View Order](#)

Result [+](#)

TRADE ASSETS (资产交易) (续前页)

3

1) 如需售出或购入资产, 可通过以下两种方式搜索资产: **My Portfolio (我的投资组合)** 或 **All Assets (所有资产)**。
若用户选择 **My Portfolio (我的投资组合)**, 则可通过 **Identifier (资产代码)** 或 **Asset Name (资产名称)** 搜索其投资组合内的资产。

1 Asset Order
—

My Portfolio All Assets

Identifier	Asset Name		
Ticker, ISIN, CUSIP, SEDOL, Internal ID	Asset Name	Search	✕Reset

	ISIN	Ticker	Asset Name	Currency	Available Quantity	Price ⓘ	Price Date	Unit Cost ⓘ	Amount	Return (%)
▲ ▲ ▼ ▼	US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
▲ ▲ ▼ ▼	US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value.

can't find asset?

Order Selection

	Asset	Amount	Quantity	Expiration	Price Limit ⓘ
ISIN	Ticker Name	Currency			
<input type="radio"/>			<input type="radio"/>		

View Order

2) 若用户选择 **All Assets (所有资产)**, 则可通过 **Identifier (资产代码)** 或 **Asset Name (资产名称)** 搜索平台上现有的全部资产。

1 Asset Order
—

My Portfolio All Assets

Identifier	Asset Name		
Ticker, ISIN, CUSIP, SEDOL, Internal ID	Asset Name	Search	✕Reset

	ISIN	Ticker	Asset Name	Currency	Available Quantity	Price ⓘ	Price Date	Unit Cost ⓘ	Amount	Return (%)
Enter an identifier or name and click Search to begin.										

can't find asset?

Order Selection

	Asset	Amount	Quantity	Expiration	Price Limit ⓘ
ISIN	Ticker Name	Currency			
<input type="radio"/>			<input type="radio"/>		

View Order

注: 若平台中查无该资产, 可点击“Can't find asset? (无法查找资产?)”功能以要求新增特定的资产。

TRADE ASSETS (资产交易) (续前页)

3) 如需购入, 请使用**绿色**按钮; 如需售出, 请使用**红色**按钮。所选订单将显示在ORDER SELECTION (订单选择) 部分, 且每次仅能选择一个资产。继续设置 **Amount (金额)** 或 **Quantity (数量)**, 并按需填写 **Expiration (到期日)** 或 **Price Limit (限价)**。点击 **View Order (查看订单)** 以确认资料。

Asset Order
My Portfolio All Assets

Identifier	Asset Name										
<input type="text" value="Ticker, ISIN, CUSIP, SEDOL, Internal ID"/>	<input type="text" value="Asset Name"/>		<input type="button" value="Search"/>	<input type="button" value="xReset"/>							
▲ ▼ ▲ ▼	US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42	
▲ ▼ ▲ ▼	US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99	

All prices for bonds and structured notes are expressed in par value. can't find asset?

Order Selection

ISIN	Ticker	Asset Name	Currency	Amount	Quantity	Expiration	Price Limit
▲ US0378331005	AAPL	Apple Inc	USD	<input checked="" type="checkbox"/> <input type="text" value="10,000"/>	<input type="text"/>	<input type="checkbox"/> <input type="text" value="16/06/2025"/>	<input type="text"/> <input type="button" value="x"/>

4) 确认页面将显示资产资讯及订单详情, 点击 **Confirm (确认)** 以继续。

Trade Assets

555555 - TEST

[Account Access](#) > [Introducer](#) > [Portfolio Management New](#) > [Trade Assets](#)

Search and select a Policy:

Cash Holdings

Currency	Balance	Available
USD	54,874.90	54,874.90

Asset Order

My Portfolio All Assets

Identifier	Asset Name										
<input type="text" value="Ticker, ISIN, CUSIP, SEDOL, Internal ID"/>	<input type="text" value="Asset Name"/>		<input type="button" value="Search"/>	<input type="button" value="xReset"/>							
▲ ▼ ▲ ▼	US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42	
▲ ▼ ▲ ▼	US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99	

All prices for bonds and structured notes are expressed in par value. can't find asset?

Order Selection

ISIN	Ticker	Asset Name	Currency	Amount	Quantity	Expiration	Price Limit
▲ US0378331005	AAPL	Apple Inc	USD	<input checked="" type="checkbox"/> <input type="text" value="10,000"/>	<input type="text"/>	<input type="checkbox"/> <input type="text" value="16/06/2025"/>	<input type="text"/> <input type="button" value="x"/>

Asset

Name: Apple Inc

Currency: USD

ISIN: US0378331005

Ticker: AAPL

SEDOL: 2046251

CUSIP: 37833100

Asset type: Equity

Order Requested

Transaction Type: Buy

Amount: 10,000.00

Expiration: 16/06/2025

Order to Submit

Transaction Type: Buy

Quantity: 49.00

Expiration: 16/06/2025

Estimated Result

Price: 199.200000

Price Date: 12/06/2025

Amount: 9,760.80

Fees: 50.00

Final Amount: 9,810.80

Important Notice

Values are estimated using the most recent price recorded by the company.
Dealing Fee will be charged in the asset settlement currency.
Orders with high transactional costs might be subject to Special Dealing Fee.
All transactions for equities are processed by quantity.
The Company is not liable for any losses incurred as a consequence of trading an asset and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk.
Please be aware that this transaction might generate an overdraft due to:
• Price Changes.

TRADE ASSETS (资产交易) (续前页)

3 5) 该申请将被提交至公司, **Request a new trade (新的交易申请)** 或返回 **Holdings (持有资产)** 页面的选项将被提供。

1 Asset Order +

2 Result -

Your request has been submitted.

What would you like to do next?

Request new trade.

Take me to Holdings page.

Next

TRADE FX (外汇交易)

4 该部分用于货币买卖交易。

Trade FX
555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Trade FX

Search and select a Policy:
TSPW000000-00-Doe, John x v

Cash Holdings

Currency	Balance ⓘ	Available ⓘ
USD	54,874.90	54,874.90

1 FX Order -

Buy

Sell

View Order

2 Result +

TRADE FX (外汇交易) (续前页)

4

外汇订单 - 购入

1) 选择Buy(购入)并填写必填资讯。

1 FX Order
—

Buy
 Sell

Select currency to be bought:

EUR - Euro x v

Credit Amount:

1,000

Select currency to be paid:

USD - United States of America, ... x v

FX Rate:

1.167283

Debit Amount:

1,167.28

View Order

2 Result
+

2) 点击 **View Order (查看订单)** 以确认资料, 然后点击 **Confirm (确认)** 处理申请。

1 FX Order
—

Buy
 Sell

Select currency to be bought:

EUR - Euro x v

Credit Amount:

1,000

Select currency to be paid:

USD - United States of America, ... x v

FX Rate:

1.167283

Debit Amount:

1,167.28

View Order

2 Result
+

Order Requested	Estimated Result
<p>Transaction Type: Buy</p> <p>Currency to be credited: EUR</p> <p>Amount: 1,000.00</p> <p>Currency to be debited: USD</p>	<p>FX Rate: 1.167283</p> <p>Currency to be debited: USD</p> <p>Estimated amount to be debited: 1,167.28</p>

Important Notice

This order will be processed immediately as estimated, unless the FX rate recorded by the company has been updated before the order is confirmed. In such case, the updated FX rate will apply. The Company is not liable for any losses incurred as a consequence of trading a currency and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk.

Confirm

2 Result
+

TRADE FX (外汇交易) (续前页)

4

3) 确认订单后, 该申请将被提交至公司, **Request a new FX trade (新的外汇交易申请)** 或前往 **Holdings (持有资产)** 页面的选项将被提供。

1 FX Order +

2 Result -

Transaction has been successfully submitted.

What would you like to do next?

- Request a new FX trade.
- Take me to Holdings page.

Next

外汇订单 - 售出

1) 选择 **Sell (售出)** 并填写必填资讯。

1 FX Order -

Buy

Sell

Select currency to be sold:

EUR - Euro

Debit Amount:

1,000

All Position

Select currency to be sold:

EUR - Euro

Debit Amount:

1,000

All Position

FX Rate:

1.144170

Select currency to be received:

USD - United States of America, ...

Credit Amount:

1,144.17

View Order

TRADE FX (外汇交易) (续前页)

4 2) 点击 **View Order (查看订单)** 以确认资料, 然后点击 **Confirm (确认)** 处理申请。

1 FX Order
—

Buy

Sell

Select currency to be sold:

EUR - Euro
x
v

Debit Amount:

1,000

All Position

Select currency to be received:

USD - United States of America, ...
x
v

FX Rate:

1.144170

Credit Amount:

1,144.17

View Order

Order Requested	Estimated Result
<p>Transaction Type: Sell</p> <p>Currency to be debited: EUR</p> <p>Amount: 1,000.00</p> <p>Currency to be credited: USD</p>	<p>FX Rate: ⓘ 1.144170</p> <p>Currency to be credited: USD</p> <p>Estimated amount to be credited: ⓘ 1,144.17</p>

Important Notice

This order will be processed immediately as estimated, unless the FX rate recorded by the company has been updated before the order is confirmed. In such case, the updated FX rate will apply.

The Company is not liable for any losses incurred as a consequence of trading a currency and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk.

v Confirm

2 Result +

3) 确认订单后, 该申请将被提交至公司, **Request a new FX trade (新的外汇交易申请)** 或前往 **Holdings (持有资产)** 页面的选项将被提供。

1 FX Order
+

2 Result
—

Transaction has been successfully submitted.

What would you like to do next?

Request a new FX trade.

Take me to Holdings page.

v Next

ASSETS ORDERS (资产订单)

5 用户可在此部分查询所有 **Asset Order (资产订单)** 及其状态。如需取消订单, 用户须点击 **Cancel (取消)** 按钮。仅状态为 **ORDERED (已下单)** 的订单可被取消。

Asset Orders

555555 - TEST

Account Access > Introducer > Portfolio Management New > Asset Orders

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Show/Hide Columns Search Reset Tools

Date	Order Reference	Order Type	Asset	Currency	ISIN	Ticker	Size	Size Type	Status	Cancel
17/05/2025							From			
17/06/2025	Order Reference	Select	Select	Select	Select	Select	To	Select	Select	
12/06/2025	8	BUY	Apple Inc	USD	US0378331005	AAPL	200.00	UNITS	EXECUTED	Cancel
12/06/2025	10	BUY	Microsoft Corp 4.5% 10/01/2040	USD	US594918AJ36	US594918AJ36@BVAL Corp	10,000.00	UNITS	EXECUTED	Cancel
12/06/2025	19	BUY	Apple Inc	USD	US0378331005	AAPL	199.00	UNITS	EXPIRED	Cancel
16/06/2025	90	BUY	Apple Inc	USD	US0378331005	AAPL	49.00	UNITS	EXPIRED	Cancel

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FX ORDERS (外汇订单)

6 用户可在此部分查询所有 **FX Transaction (外汇交易)** 记录。

FX Orders

555555 - TEST

Account Access > Introducer > Portfolio Management New > FX Orders

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Search Reset Tools

Date	Order Reference	Order Type	Base Currency	Base Amount	Target Currency	Target Amount	FX Rate	Status	Cancel
17/05/2025				From		From	From		
17/06/2025	Order Reference	Select	Select	To	Select	To	To	Select	
12/06/2025	7	BUY	EUR	10,000.00	USD	11,628.49	1.162849	CANCELLED	Cancel
17/06/2025	98	BUY	EUR	1,000.00	USD	1,167.28	1.167283	EXECUTED	Cancel
17/06/2025	102	SELL	EUR	1,000.00	USD	1,144.17	1.144170	EXECUTED	Cancel

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ASSET TRANSACTIONS (资产交易)

7 用户可在此部分查询所有 **Asset Transaction (资产交易)** 记录。

Asset Transactions

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Asset Transactions

Search and select a Policy:

TSPW000000-00-Doe, John x v

Show 25 records

Show/Hide Columns Search Reset Tools

Date	Transaction Type	Asset	Asset Currency	Price	Quantity	Settlement Currency	Amount	Final Amount
17/05/2025				From	From		From	From
17/06/2025	Select	Select	Select	To	To	Select	To	To
12/06/2025	Security Deal Buy	Apple Inc	USD	50.0000	200.00	USD	10,000.00	10,050.00
12/06/2025	Security Deal Buy	Microsoft Corp 4.5% 10/01/2040	USD	1.0000	10,000.00	USD	10,000.00	10,122.00

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CASH TRANSACTIONS (现金交易)

8 用户可在此部分查询所有 **Cash Transaction (现金交易)** 记录。

Cash Transactions

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Cash Transactions

Search and select a Policy:

TSPW000000-00-Doe, John x v

Show 25 records

Search Reset Tools

Date	Transaction Type	Description	Currency	Amount	Balance
17/05/2025				From	From
17/06/2025	Select	Select	USD - United States...	To	To
12/06/2025		Initial Balance	USD		0.00
12/06/2025	Premium	Credit of Premium for USD 75,000.00	USD	75,000.00	75,000.00
12/06/2025	Security Deal Buy	Buy 200 units of Apple Inc	USD	-10,050.00	64,950.00
12/06/2025	Security Deal Buy	Buy 10,000 units of Microsoft Corp 4.5% 10/01/2040	USD	-10,122.00	54,828.00
13/06/2025	Cash Dividend	Credit of USD 0.70 via Cash Dividend of Apple Inc	USD	0.70	54,828.70
13/06/2025	Cash Dividend	Credit of USD 46.20 via Cash Dividend of Apple Inc	USD	46.20	54,874.90
17/06/2025	FX Buy	Buy EUR 1,000.00 for USD 1,167.28	USD	-1,167.28	53,707.62
17/06/2025	FX Sell	Sell EUR 1,000.00 for USD 1,144.17	USD	1,144.17	54,851.79
17/06/2025		Closing Balance	USD		54,851.79

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