

新投資組合管理

1 請前往至介紹人概要頁面，此處將顯示新投資組合管理之選項。

The screenshot shows a vertical navigation menu with the following items:

- 一般資訊
- 線上服務互動平台
- 查詢
- 原有投資組合管理
- 新投資組合管理** (highlighted in blue)
- Holdings
- Trade Assets
- Trade FX
- Asset Orders
- FX Orders
- Asset Transactions
- Cash Transactions

持有資產

2 在 **Holdings (持有資產)** 部分，用戶可查看其投資組合的所有相關資訊，包括其所持資產之構成分布的可視化資訊，以及投資組合中每項資產相關的資訊。請選擇一份計劃以查看資訊。

Holdings

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Holdings

The screenshot shows a search dropdown menu with the following text:

Search and select a Policy:

Select

TSPW000000-00-Doe, John

TSPP003167-00-Gbp No Trust, My

TSPP003295-00-Smith, Ariel

TSPP003296-00-Smith, Abigail

TSPP003322-00-Smith, Amigo

TSPP003323-00-Smith, Aris

TSPW021555-00-Test, Test

TSPW021618-00-Do not use, Old

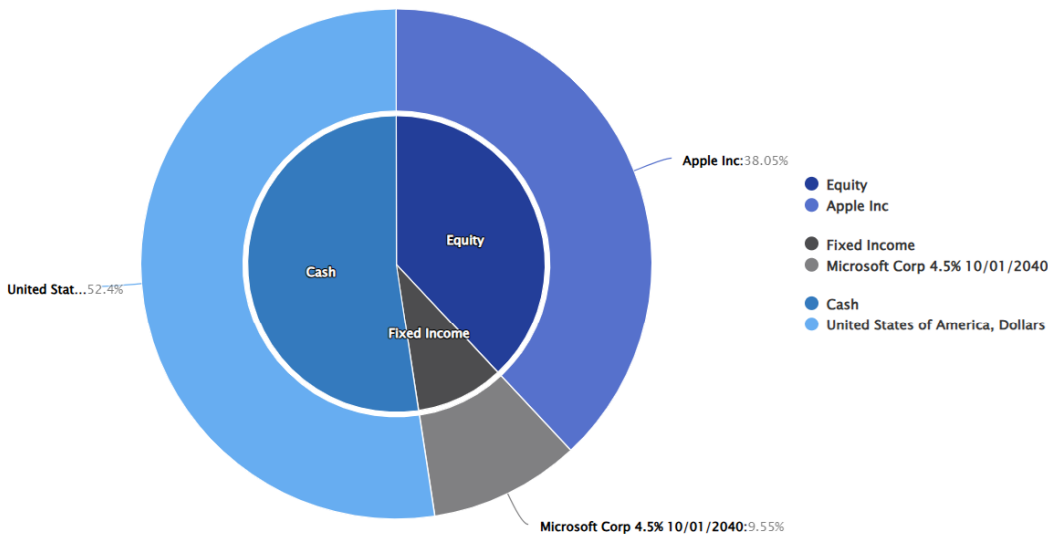
HOLDINGS (持有資產) (續前頁)

2 客戶的現金與資產的餅圖將會顯示。這是一個互動式圖表，用戶可以顯示或隱藏其資產。

Search and select a Policy:

TSPW000000-00-Doe, John

Holdings



在 **Holdings (持有資產)** 列表中，可查看所有可用資產的概要。用戶可通過 **Show/Hide Columns (顯示/隱藏欄目)** 來添加或隱藏欄目。

Holdings

Asset	Quantity	Currency	Cost Basis ⓘ	Amount	Return (\$)	Return (%)
United States of America, Dollars		USD		54,874.90		52.40%
Apple Inc	200.000000	USD	10,050.00	39,840.00	29,790.00	38.05%
Microsoft Corp 4.5% 10/01/2040	10,000.000000	USD	10,100.00	10,000.00	-100.00	9.55%

Show/Hide Columns ▾ Tools ▾

- Asset Type
- Asset
- ISIN
- Ticker
- SEDOL
- CUSIP
- Quantity
- Currency
- Unit Cost ⓘ
- Cost Basis ⓘ
- Price ⓘ
- Price Date
- Residual Factor
- Amount
- Return (\$)
- Return (%)
- FX Rate
- Amount in Base Currency
- % of Portfolio

HOLDINGS (持有資產) (續前頁)

2 用戶可點擊資產鏈接以查看詳情。

Asset

Name	Currency	ISIN	Ticker	SEDOL	CUSIP
Apple Inc	USD	US0378331005	AAPL	2046251	37833100

Position

Quantity	Unit Cost	Cost Basis	Price	Price Date	Amount	Return (%)	Return (\$)	FX Rate	Amount in Base Currency	% of Portfolio
200.000000	50.2500	10,050.00	199.2000	12/06/2025	39,840.00	296.42%	29,790.00	1	39,840.00	38.05%

Transactions

Date	Transaction Type	Asset	Asset Currency	Price	Quantity	Settlement Currency	Amount	Final Amount
12/06/2025	Security Deal Buy	Apple Inc	USD	50.0000	200.00	USD	10,050.00	10,050.00

TRADE ASSETS (資產交易)

3 該部分用於計劃的資產購入/售出交易。
在 Cash Holdings (現金持有資產) 列表中，列出了他/她的計劃可持有的貨幣。

Trade Assets
555555 - TEST

Account Access > Introducer > Portfolio Management New > Trade Assets

Search and select a Policy:
TSPW000000-00-Doe, John

Cash Holdings

Currency	Balance	Available
USD	54,874.90	54,874.90

Asset Order

My Portfolio All Assets

Identifier: Ticker, ISIN, CUSIP, SEDOL, Internal ID | Asset Name: [Search] [Reset]

ISIN	Ticker	Asset Name	Currency	Available Quantity	Price	Price Date	Unit Cost	Amount	Return (%)
US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value. [can't find asset?](#)

Order Selection

Asset	ISIN	Ticker	Name	Currency	Amount	Quantity	Expiration	Price Limit
					<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>

[View Order](#)

Result

TRADE ASSETS (資產交易) (續前頁)

3

1) 如需售出或購入資產，可通過以下兩種方式搜索資產：**My Portfolio (我的投資組合)** 或 **All Assets (所有資產)**。
若用戶選擇 **My Portfolio (我的投資組合)**，則可通過 **Identifier (資產代碼)** 或 **Asset Name (資產名稱)** 搜索其投資組合內的資產。

Asset Order

My Portfolio All Assets

Identifier: Ticker, ISIN, CUSIP, SEDOL, Internal ID | Asset Name: Asset Name | Search | xReset

ISIN	Ticker	Asset Name	Currency	Available Quantity	Price ⓘ	Price Date	Unit Cost ⓘ	Amount	Return (%)
US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value.

can't find asset?

Order Selection

Asset ISIN	Ticker	Name	Currency	Amount	Quantity	Expiration	Price Limit ⓘ
<input type="radio"/>				<input type="text"/>	<input type="text"/>	<input type="checkbox"/> <input type="text"/>	<input type="checkbox"/> <input type="text"/>

View Order

2) 若用戶選擇 **All Assets (所有資產)**，則可通過 **Identifier (資產代碼)** 或 **Asset Name (資產名稱)** 搜索平台上現有的全部資產。

Asset Order

My Portfolio All Assets

Identifier: Ticker, ISIN, CUSIP, SEDOL, Internal ID | Asset Name: Asset Name | Search | xReset

ISIN	Ticker	Asset Name	Currency	Available Quantity	Price ⓘ	Price Date	Unit Cost ⓘ	Amount	Return (%)
Enter an identifier or name and click Search to begin.									

can't find asset?

Order Selection

Asset ISIN	Ticker	Name	Currency	Amount	Quantity	Expiration	Price Limit ⓘ
<input type="radio"/>				<input type="text"/>	<input type="text"/>	<input type="checkbox"/> <input type="text"/>	<input type="checkbox"/> <input type="text"/>

View Order

注：若平台中查無該資產，可點擊“Can't find asset? (無法查找資產?)”功能以要求新增特定的資產。

TRADE ASSETS (資產交易) (續前頁)

3) 如需購入, 請使用綠色按鈕; 如需售出, 請使用紅色按鈕。所選訂單將顯示在 ORDER SELECTION (訂單選擇) 部分, 且每次僅能選擇一個資產。繼續設置 Amount (金額) 或 Quantity (數量), 並按需填寫 Expiration (到期日) 或 Price Limit (限價)。點擊 View Order (查看訂單) 以確認資料。

Asset Order
My Portfolio All Assets

Identifier
Ticker, ISIN, CUSIP, SEDOL, Internal ID

Asset Name
Asset Name

Search

✕Reset

	ISIN	Ticker	Asset Name	Currency	Available Quantity	Price [ⓘ]	Price Date	Unit Cost [ⓘ]	Amount	Return (%)
▲ ▲ ▼ ▼	US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
▲ ▲ ▼ ▼	US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value. can't find asset?

Order Selection

ISIN	Ticker	Asset Name	Currency	Amount	Quantity	Expiration	Price Limit [ⓘ]
▲	US0378331005	AAPL Apple Inc	USD	<input checked="" type="checkbox"/> 10,000	<input type="checkbox"/>	<input type="checkbox"/> 16/06/2025	<input type="checkbox"/>

View Order

4) 確認頁面將顯示資產資訊及訂單詳情, 點擊 Confirm (確認) 以繼續。

Trade Assets

555555 - TEST

Account Access > Introducer > Portfolio Management New > Trade Assets

Search and select a Policy:
TSPW022190-00-Smith, John

Cash Holdings

Currency	Balance [ⓘ]	Available [ⓘ]
USD	54,874.90	54,874.90

Asset Order
My Portfolio All Assets

Identifier
Ticker, ISIN, CUSIP, SEDOL, Internal ID

Asset Name
Asset Name

Search

✕Reset

	ISIN	Ticker	Asset Name	Currency	Available Quantity	Price [ⓘ]	Price Date	Unit Cost [ⓘ]	Amount	Return (%)
▲ ▲ ▼ ▼	US0378331005	AAPL	Apple Inc	USD	200	199.2000	12/06/2025	50.2500	39,840.00	296.42
▲ ▲ ▼ ▼	US594918AJ36	US594918AJ36@BVAL Corp	Microsoft Corp 4.5% 10/01/2040	USD	10,000	100.0000	11/06/2025	101.0000	10,000.00	-0.99

All prices for bonds and structured notes are expressed in par value. can't find asset?

Order Selection

ISIN	Ticker	Asset Name	Currency	Amount	Quantity	Expiration	Price Limit [ⓘ]
▲	US0378331005	AAPL Apple Inc	USD	<input checked="" type="checkbox"/> 10,000	<input type="checkbox"/>	<input type="checkbox"/> 16/06/2025	<input type="checkbox"/>

View Order

Asset

Name: Apple Inc

Currency: USD

ISIN: US0378331005

Ticker: AAPL

SEDOL: 2046251

CUSIP: 37833100

Asset type: Equity

Order Requested

Transaction Type: Buy

Amount: 10,000.00

Expiration: 16/06/2025

Order to Submit

Transaction Type: Buy

Quantity: 49.00

Expiration: 16/06/2025

Estimated Result

Price: 199.200000

Price Date: 12/06/2025

Amount: 9,760.80

Fees: 50.00

Final Amount: 9,810.80

Important Notice

Values are estimated using the most recent price recorded by the company. Dealing Fee will be charged in the asset settlement currency. Orders with high transactional costs might be subject to Special Dealing Fee. All transactions for equities are processed by quantity. The Company is not liable for any losses incurred as a consequence of trading an asset and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk. Please be aware that this transaction might generate an overdraft due to:

- Price Changes.

Confirm

TRADE ASSETS (資產交易) (續前頁)

3 5) 該申請將被提交至公司，Request a new trade (新的交易申請) 或返回 Holdings (持有資產) 頁面的選項將被提供。

1 Asset Order +

2 Result -

Your request has been submitted.

What would you like to do next?

Request new trade.

Take me to Holdings page.

Next

TRADE FX (外匯交易)

4 該部分用於貨幣買賣交易。

Trade FX

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Trade FX

Search and select a Policy:

TSPW000000-00-Doe, John x v

Cash Holdings

Currency	Balance ⓘ	Available ⓘ
USD	54,874.90	54,874.90

1 FX Order -

- Buy
- Sell

View Order

2 Result +

TRADE FX (外匯交易) (續前頁)

4

外匯訂單 - 購入

1) 選擇Buy (購入)並填寫必填資訊。

1 FX Order

Buy
 Sell

Select currency to be bought:
EUR - Euro

Credit Amount:
1,000

FX Rate:
1.167283

Select currency to be paid:
USD - United States of America, ...

Debit Amount:
1,167.28

View Order

2 Result

2) 點擊 View Order (查看訂單) 以確認資料, 然後點擊 Confirm (確認) 處理申請。

1 FX Order

Buy
 Sell

Select currency to be bought:
EUR - Euro

Credit Amount:
1,000

FX Rate:
1.167283

Select currency to be paid:
USD - United States of America, ...

Debit Amount:
1,167.28

View Order

Order Requested	Estimated Result
<p>Transaction Type: Buy</p> <p>Currency to be credited: EUR</p> <p>Amount: 1,000.00</p> <p>Currency to be debited: USD</p>	<p>FX Rate: 1.167283</p> <p>Currency to be debited: USD</p> <p>Estimated amount to be debited: 1,167.28</p>

Important Notice

This order will be processed immediately as estimated, unless the FX rate recorded by the company has been updated before the order is confirmed. In such case, the updated FX rate will apply.

The Company is not liable for any losses incurred as a consequence of trading a currency and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk.

Confirm

2 Result

TRADE FX (外匯交易) (續前頁)

4

3) 確認訂單後，該申請將被提交至公司，Request a new FX trade (新的外匯交易申請) 或前往 Holdings (持有資產) 頁面的選項將被提供。

1 FX Order +

2 Result -

Transaction has been successfully submitted.

What would you like to do next?

Request a new FX trade.

Take me to Holdings page.

Next

外匯訂單 - 售出

1) 選擇 Sell (售出) 並填寫必填資訊。

1 FX Order -

Buy

Sell

Select currency to be sold: EUR - Euro x v

Select currency to be sold: EUR - Euro x v

Select currency to be received: USD - United States of America, ... x v

Debit Amount: 1,000 All Position

Debit Amount: 1,000 All Position

FX Rate: 1.144170

Credit Amount: 1,144.17

View Order

TRADE FX (外匯交易) (續前頁)

4 2) 點擊 **View Order (查看訂單)** 以確認資料, 然後點擊 **Confirm (確認)** 處理申請。

1 FX Order

Buy
 Sell

Select currency to be sold:
EUR - Euro x v

Debit Amount:
1,000 All Position

FX Rate:
1.144170

Select currency to be received:
USD - United States of America, ... x v

Credit Amount:
1,144.17

[View Order](#)

Order Requested	Estimated Result
<p>Transaction Type: Sell</p> <p>Currency to be debited: EUR</p> <p>Amount: 1,000.00</p> <p>Currency to be credited: USD</p>	<p>FX Rate: 1.144170</p> <p>Currency to be credited: USD</p> <p>Estimated amount to be credited: 1,144.17</p>

Important Notice

This order will be processed immediately as estimated, unless the FX rate recorded by the company has been updated before the order is confirmed. In such case, the updated FX rate will apply.

The Company is not liable for any losses incurred as a consequence of trading a currency and each Participant agrees not to bring any claim against the Company, its affiliates, or any of their respective officers, directors or employees in respect of such losses. Any choice of investments is entirely at each Participant's own risk.

[Confirm](#)

2 Result +

3) 確認訂單後, 該申請將被提交至公司, **Request a new FX trade (新的外匯交易申請)** 或前往 **Holdings (持有資產)** 頁面的選項將被提供。

1 FX Order +

2 Result -

Transaction has been successfully submitted.

What would you like to do next?

Request a new FX trade.
 Take me to Holdings page.

[Next](#)

ASSETS ORDERS (資產訂單)

5 用戶可在此部分查詢所有 **Asset Order (資產訂單)** 及其狀態。如需取消訂單，用戶須點擊 **Cancel (取消)** 按鈕。僅狀態為 **ORDERED (已下單)** 的訂單可被取消。

Asset Orders

555555 - TEST

Account Access > Introducer > Portfolio Management New > Asset Orders

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Show/Hide Columns Search Reset Tools

Date	Order Reference	Order Type	Asset	Currency	ISIN	Ticker	Size	Size Type	Status	Cancel
17/05/2025							From			
17/06/2025	Order Reference	Select	Select	Select	Select	Select	To	Select	Select	
12/06/2025	8	BUY	Apple Inc	USD	US0378331005	AAPL	200.00	UNITS	EXECUTED	Cancel
12/06/2025	10	BUY	Microsoft Corp 4.5% 10/01/2040	USD	US594918AJ36	US594918AJ36@BVAL Corp	10,000.00	UNITS	EXECUTED	Cancel
12/06/2025	19	BUY	Apple Inc	USD	US0378331005	AAPL	199.00	UNITS	EXPIRED	Cancel
16/06/2025	90	BUY	Apple Inc	USD	US0378331005	AAPL	49.00	UNITS	EXPIRED	Cancel

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FX ORDERS (外匯訂單)

6 用戶可在此部分查詢所有 **FX Transaction (外匯交易)** 記錄。

FX Orders

555555 - TEST

Account Access > Introducer > Portfolio Management New > FX Orders

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Search Reset Tools

Date	Order Reference	Order Type	Base Currency	Base Amount	Target Currency	Target Amount	FX Rate	Status	Cancel
17/05/2025				From		From	From		
17/06/2025	Order Reference	Select	Select	To	Select	To	To	Select	
12/06/2025	7	BUY	EUR	10,000.00	USD	11,628.49	1.162849	CANCELLED	Cancel
17/06/2025	98	BUY	EUR	1,000.00	USD	1,167.28	1.167283	EXECUTED	Cancel
17/06/2025	102	SELL	EUR	1,000.00	USD	1,144.17	1.144170	EXECUTED	Cancel

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ASSET TRANSACTIONS (資產交易)

7 用戶可在此部分查詢所有 Asset Transaction (資產交易) 記錄。

Asset Transactions

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Asset Transactions

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Show/Hide Columns Search Reset Tools

Date	Transaction Type	Asset	Asset Currency	Price	Quantity	Settlement Currency	Amount	Final Amount
17/05/2025				From	From		From	From
17/06/2025	Select	Select	Select	To	To	Select	To	To
12/06/2025	Security Deal Buy	Apple Inc	USD	50.0000	200.00	USD	10,000.00	10,050.00
12/06/2025	Security Deal Buy	Microsoft Corp 4.5% 10/01/2040	USD	1.0000	10,000.00	USD	10,000.00	10,122.00

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CASH TRANSACTIONS (現金交易)

8 用戶可在此部分查詢所有 Cash Transaction (現金交易) 記錄。

Cash Transactions

555555 - TEST

[Account Access](#) > [Introducer](#) > Portfolio Management New > Cash Transactions

Search and select a Policy:

TSPW000000-00-Doe, John

Show 25 records

Search Reset Tools

Date	Transaction Type	Description	Currency	Amount	Balance
17/05/2025				From	From
17/06/2025	Select	Select	USD - United States...	To	To
12/06/2025		Initial Balance	USD		0.00
12/06/2025	Premium	Credit of Premium for USD 75,000.00	USD	75,000.00	75,000.00
12/06/2025	Security Deal Buy	Buy 200 units of Apple Inc	USD	-10,050.00	64,950.00
12/06/2025	Security Deal Buy	Buy 10,000 units of Microsoft Corp 4.5% 10/01/2040	USD	-10,122.00	54,828.00
13/06/2025	Cash Dividend	Credit of USD 0.70 via Cash Dividend of Apple Inc	USD	0.70	54,828.70
13/06/2025	Cash Dividend	Credit of USD 46.20 via Cash Dividend of Apple Inc	USD	46.20	54,874.90
17/06/2025	FX Buy	Buy EUR 1,000.00 for USD 1,167.28	USD	-1,167.28	53,707.62
17/06/2025	FX Sell	Sell EUR 1,000.00 for USD 1,144.17	USD	1,144.17	54,851.79
17/06/2025		Closing Balance	USD		54,851.79

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